

Other.

### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:									
Hiroal	ki KUN	IEDA et al.	Group Art Unit: 2621						
Applic	cation N	No.: 09/972,200							
Filed:	Octobe	er 9, 2001	Attorney Dkt. No.: 08215.129						
For:	SYST	EM FOR FINGERPRINT AUTHENT	CICATION						
RESPONSE TO NOTICE TO FILE MISSING PARTS									
Commissioner for Patents Washington, D.C. 20231 ATTN - BOX MISSING PARTS January 2, 2002									
Sir:									
	In resp	esponse to the Notice to File Missing Parts of Application dated November 8, 2001							
(copy attached), the following are enclosed:									
	$\boxtimes$	\$65.00 as payment of the surcharge for the Notice to File Missing Parts;							
	$\boxtimes$	\$370.00 as payment for the small entity filing fee;							
		\$ as payment for a mont	th extension of time;						
	$\boxtimes$	Originally-executed Declaration/Power	er of Attorney;						
		Originally-executed Verified Statemen	nt Claiming Small Entity Status;						
		Verified Translation of the Application	n [with a Preliminary Amendment]; and						
			•						

Appl	icants(s) are also enclosing the following:								
	A Information Disclosure Statement with Form PTO-1449, refs. [and a								
search report	];								
	A Submission of Priority Application No filed along with								
certified prior	rity document(s);								
$\boxtimes$	Originally executed Assignment with Form PTO-1595; and								
$\boxtimes$	\$40.00 as payment for the recordation of the Assignment.								
The E	The Examiner is respectfully requested to acknowledge that all of the requirements of 35								
U.S.C. §111	have been met.								
Please	e charge any fee deficiency or credit any overpayment to Deposit Account No. 50-								
0548.									
	Respectfully submitted,								
	Matthew W. Stavish Registration No. 36,286								
5550 Rock Sp Suite 240	ryland 20817								
MWS/ts	Mart At 1								

#### **COPY OF PAPERS ORIGINALLY FILED**

OF PAPERS

PTO/SB/17 (11-00)

ORIGINALLY FILED: for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. EXTRANSMITTAL Complete if Known Application Number 09/972,200 for FY 2001 Filing Date October 9, 2001 First Named Inventor KUNIEDA et al. fees are subject to annual revision. Examiner Name Unknown Group Art Unit 2621 Total amount of payment \$475.00 Attorney Docket Number 08215.129

		MET	CHOD	OF PAYN	<b>AENT</b>		Т			FFF C	ALCULATION (continued)	
METHOD OF PAYMENT  1. ☑ The Commissioner is hereby authorized to charge					3.	ADDIT	IONAL		ALCODATION (continued)			
indicated fees and credit any overpayments to:							"	Large	OWAL	Small		
Deposit Account Number 50-0548								Entity	_	Entity		
Deposit Account Name Liniak, Berenato, Longacre & White					1	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Charge Any Additional Fee Required				105	130	205	65	Surcharge - late filing fee or oath	65.00			
Under 37 CFR §§ 1.16 and 1.17							127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status.					139	130	139	130	Non-English specification			
See 37 CFR 1.27							147	2,520	147	2,520	For filing a request for ex parte reexamination	
	-	nt Enclosed		_	_		112	920*	112	920°	Requesting publication of SIR prior to Examiner action	
Ø	Check				Order Oth	er	113	1,840°	113	1,840	Requesting publication of SIR after Examiner action	
			E CAL	CULATI	ON		115	110	215	55	Extension for reply within first month	
		ING FEE					116	400	216	200	Extension for reply within second month	
_	e Entity		ll Entity				117	920	217	460	Extension for reply within third month	
Fee Code	Fe: (\$)	Code	(-)		escription	Fee Paid	118	1,440	218	720	Extension for reply within fourth month	
101		40 201	•		•	370.00	128	1,960	228	980	Extension for reply within fifth month	
106		30 206			-		119	320	219	160	Notice of Appeal	
107		10 207			-		120	320	220	160	Filing a brief in support of an appeal	
108	74	10 208	370		filing fee		121	280	221	140	Request for oral hearing	
114	16	50 214	80	Provision fee	nal filing		138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) \$370.00						\$370.00	140	110	240	55	Petition to revive -unavoidable	
						φ570.00	141	1,280	241	640	Petition to revive - unintentional	·
2. EXTRA CLAIM FEES							142	1,280	242	640	Utility issue fee (or reissue)	
				Extra Claims	Fee from below	Fee Paid	143	460	243	230	Design issue fee	
Total C		16	-20		\$18.00	0	144	620	244	310	Plant issue fee	
Indepe Claims	ndent	2	-3*		\$84.00	0	122	130	122	130	Petitions to the Commissioner	
Multip	e Depei	ndent			\$280.00	0	123	50	123	50	Petitions related to provisional applications	
-							126	180	126	180	Submission of Information Disclosure Statement	
Large		Small i					581	40	481	40	Recording each patent assignment per property (times number of properties)	40.00
Fee Code	Fee (\$)	Fee Code	Fee (\$)				146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
103	18	. 203	9	Claims in excess of 20			149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
102	84	202	42	Independent claims in excess of 3 Multiple dependent claim, if not paid			179   }-	.740	-279.	370:5 00	Request for Continued Examination (RCE)	
104	280	204	140				179   1-740   17-378   17-378   18-378					
108 .	84	209	42					first class mail in an envelope addressed to:  Othe Commissioner of Patents and Trademarks, Washington,				
110	18	210	9	" Reissue	claims in exc	ess of 20	Out P.G. (s 20231, on 1/2/02					
and over original patent						nt .	Other 16	Other see (speakays) 1, Off				
SUBTOTAL (2) \$0.00					Other for	a (decida	W. Stov	Ato	Reg. 36, 286			
" or number previously paid, if greater: For Reissues, see above				Reduc	ed by Basic	Filing Fe	e Paid	SUBTOTAL (3)	410.00			

Submitted By		Complete (if applicable)
Name (Print/Type) Matthew Stavish	Registration No.: 36,286	Telephone 301-896-0600
Signature: Matth Street		Date: January 2, 2002



### United States Patent and Trademark Office



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/972,200

10/09/2001

Hiroaki Kunieda

08215.129

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Suite 240 6550 Rock Spring Drive Bethesda, MD 20817



CONFIRMATION NO. 3105
FORMALITIES LETTER

\*OC0000000007040211\*

Date Mailed: 11/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/28/2002 MAHMED1 00000017 09972200

01 FC:201 02 FC:205 370.00 OP 65.00 OP